

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

07/29/1999 WCLAYBRO 00000041 09355214

01 FC:971
02 FC:967
03 FC:965

420.00 OP
9.00 OP
234.00 OP

8/23/1999 WCLAYBRO 00000065 061300 09355214

1 FC:967 9.00 CH

430 Rec'd PCT/PTO 23 JUL 1999

(REV. 10-96)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER
-64383-2TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, sect 37 C.F.R. 1.5)

09/355214

INTERNATIONAL APPLICATION NO.
PCT/US98/01394INTERNATIONAL FILING DATE
23 January 1998 (23.01.98)PRIORITY DATE CLAIMED
24 January 1997 (24.01.97)

TITLE OF INVENTION

BLNK PROTEINS

APPLICANT(S) FOR DO/EO/US

CHAN, Andrew C. and FU, Chong

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
 2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
 3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
 4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau. (see enclosed Form PCT/IB/308)
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
 6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
 7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
 8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 12. ☒ An assignment document for recording (Andrew Chan to Washington University). A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 - ☒ An assignment document for recording (Chong Fu to Washington University). A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. ☐ A FIRST preliminary amendment.
 - ☐ A SECOND or SUBSEQUENT preliminary amendment.
 14. ☐ A substitute specification.
 15. ☐ A change of power of attorney and/or address letter.
 16. ☒ Other items or information.
 - Power of Attorney by Assignee
 - Verified Statement Claiming Small Entity Status

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17. ☒ The following fees are submitted:**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO \$840.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)

..... \$670.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482)

but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search

fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)

and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =**CALCULATIONS** PTO USE ONLY

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total Claims	22	-20 =	2
Independent Claims	9	-3 =	6
Multiple dependent claims (if applicable)			

X \$ 18.00

\$ 18.00

X \$ 78.00

\$ 468.00

+ \$ 260.00

\$

TOTAL OF ABOVE CALCULATIONS =

\$ 1,326.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small x
Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

\$ 663.00

SUBTOTAL =

\$ 663.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 663.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 80.00

TOTAL FEES ENCLOSED =

743.00

Amount to be:
refunded \$

charged \$

a. ☒ A check in the amount of \$743.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. 06-1300 in the amount of \$ to cover the above fees.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1300 (Order No. A-64383-2/RFT)**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

Robin M. Silva
NAME38,304
REGISTRATION NUMBER

430 Rec'd PCT/PTO

23 JUL 1999
09/355214

PATENT

Attorney Docket No.: A-64383-2/RMS/DSS

PATENT COOPERATION TREATY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

CHAN, Andrew C. and FU, Chong

NATIONAL PHASE ENTRY OF:
PCT/US98/01394

Serial No.: Not Yet Assigned

Filed: Herewith

For: BLNK PROTEINS

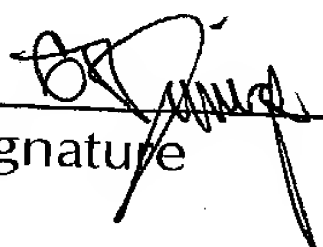
Assistant Commissioner for Patents
BOX PCT
Washington, DC 20231

Sir:

EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10

I hereby certify that this New Application and the documents listed below and referred to as enclosed herein are being deposited with the United States Postal Service on this date JULY 23, 1999 in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EL243918524US addressed to: Assistant Commissioner for Patents **Box PCT**, Washington, D.C. 20231.

Geody Domingo
(person mailing)


Signature

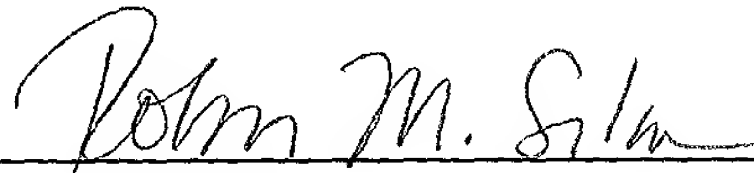
The following documents are enclosed herein for filing in the above-identified new application:

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1. Transmittal Letter to the U.S. Designated/Elected Office
2. Copy of Form PCT/IB/308
3. Declaration and Power of Attorney for Patent Application
4. Assignment (Andrew Chan and Howard Hughes Medical Institute to Washington University)
5. Assignment (Chong Fu to Washington University)
6. Power of Attorney by Assignee
7. Verified Statement Claiming Small Entity Status
8. Check # 25244 (\$743)

Dated: 23 July 1999



Robin M. Silva
Reg. No. 38,304

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